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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1. 53(b))

Attorney Docket No. A01174A

First Inventor Andrew T. Daly et al.

Title Low Gloss Powder Coating

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets ]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner: \_\_\_\_\_

Group Art Unit, \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label



or

Correspondence address below

Name

21898

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

James G. Vouros

Registration No. (Attorney/Agent)

34,453

Signature

*James G. Vouros*

Date

JAN. 8, 2002

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ ) 740**Complete if Known**

Application Number	
Filing Date	Herewith
First Named Inventor	Andrew T. Daly et al.
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	A01174A

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number**18-1850**Deposit  
Account  
Name**Rohm and Haas Company**☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$)

101 740 201 370 Utility filing fee

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

Fee Paid

740

**SUBTOTAL (1)** (\$ ) 740**2. EXTRA CLAIM FEES**

Total Claims	10	-20** =	0	X	Fee from below	18	=	0
Independent Claims	1	-3* =	0	X	84	=	0	
Multiple Dependent								

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 \*\* Reissue independent claims  
over original patent110 18 210 9 \*\* Reissue claims in excess of 20  
and over original patent**SUBTOTAL (2)** (\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

	Large Entity	Small Entity	Fee Code	Fee Code	Fee Code	Fee Code	Fee Description	Fee Paid
105	130	205	65				Surcharge - late filing fee or oath	
127	50	227	25				Surcharge - late provisional filing fee or cover sheet	
139	130	139	130				Non-English specification	
147	2,520	147	2,520				For filing a request for ex parte reexamination	
112	920*	112	920*				Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*				Requesting publication of SIR after Examiner action	
115	110	215	55				Extension for reply within first month	
116	400	216	200				Extension for reply within second month	
117	920	217	460				Extension for reply within third month	
118	1,440	218	720				Extension for reply within fourth month	
128	1,960	228	980				Extension for reply within fifth month	
119	320	219	160				Notice of Appeal	
120	320	220	160				Filing a brief in support of an appeal	
121	280	221	140				Request for oral hearing	
138	1,510	138	1,510				Petition to institute a public use proceeding	
140	110	240	55				Petition to revive - unavoidable	
141	1,280	241	640				Petition to revive - unintentional	
142	1,280	242	640				Utility issue fee (or reissue)	
143	460	243	230				Design issue fee	
144	620	244	310				Plant issue fee	
122	130	122	130				Petitions to the Commissioner	
123	50	123	50				Processing fee under 37 CFR 1.1 7(q)	
126	180	126	180				Submission of Information Disclosure Stmt	
581	40	581	40				Recording each patent assignment per property (times number of properties)	
146	740	246	370				Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370				For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370				Request for Continued Examination (RCE)	
169	900	169	900				Request for expedited examination of a design application	
Other fee (specify) _____								
* Reduced by Basic Filing Fee Paid								
<b>SUBTOTAL (3)</b>								(\$ ) 0

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)

James G. Vouros

Registration No.  
(Attorney/Agent)

34,453

Telephone

215-592-2564

Signature

Date

JAN. 8, 2002

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